**ATTACHMENT S-2: Broker / Third Party Certification**

For units with income limits set **above 165%** of New York City Area Medium Income (AMI) Limit

Applicant’s Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Project/Unit Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Was a real estate broker or any other third party entity involved in the preparation, compilation and submission of this applicant’s file?

**YES / NO**

If Yes, you must list the following information:

Name of Real Estate Broker/Third Party (Individual Representative & Company):

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Contact Information of Real Estate Broker/Third Party

Phone Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  
Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Was a broker’s fee charged to the applicant?

**YES / NO**

For units with income limits set above 165% of New York City Area Medium Income (AMI) limit, no applicants from a lottery may be charged a broker fee. Once a project is open market, a developer may opt to use a broker to facilitate remaining these units. However, in such instances the amount of the broker fee which may be charged to an applicant may not exceed half of one month’s rent. Any fee in excess of that amount must be absorbed by the developer. Is this true for the submission of this applicant’s file?

**YES / NO**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_

Developer (Signature) Date